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September 27, 2001

The Rockefeller University
1230 York Avenue
Box 81
New York, NY 10021-6399

10/9/01

Attention: Kathleen A. Denis, Ph.D.
Senior Director of Technology Transfer

Re: U.S. Patent Application
Inventors : Nathaniel Heintz; Peter Model; Xiangdong
Assignee : W. Yang; and Shaoching Gong
Title : The Rockefeller University
METHODS OF PERFORMING
HOMOLOGOUS RECOMBINATION
BASED MODIFICATION OF NUCLEIC
ACIDS IN RECOMBINATION
DEFICIENT CELLS AND USE OF THE
MODIFIED NUCLEIC ACID PRODUCTS
THEREOF
Serial No. : 09/619,364
Filed : July 19, 2000
Your Reference : RU 356
Our Reference : 600-1-192N2

Dear Kathleen:

We have recently received an Office Action dated September 17, 2001, from the Patent and Trademark Office in connection with the above-identified Application. By this Office Action, the Examiner has required restriction for one of the following inventions under 35 U.S.C. § 1.21:

- Group I. Claims 1-14 and 33-35, drawn to a conditional replication shuttle vector, classified in classes 435 and 536, subclasses 320.1 and 23.1.
- Group II. Claims 15-32, drawn to a method of selectively performing homologous recombination and a method of selectively modifying a particular nucleotide sequence in a recombination deficient host cell, classified in classe 435, subclasses 455 and 325.

Klauber & Jackson
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TELEPHONE: (201) 487-5800

TO: William Yang, M.D., Ph.D.

FROM: Michael D. Davis

DATE: October 9, 2001

PAGES TO FOLLOW: 2

THE FIRM'S FACSIMILE TRANSMITTERS:

(201) 343-1684
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MESSAGE:

TO :
WILLIAM YANG IS OUT OF TOWN
TUES 10/12
TODAY WILL BE
(212) 327-7856

File No. RU 356 (600-1-192N2) Fax Tel. No. (212) 327-7878

If you have any questions regarding compatibility, you may reach us at (201) 487-5800.

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